

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

214011US8

First Inventor or Application Identifier

Kazuhisa KAWABARA, et al.

Title

OPTICAL WAVEGUIDE CIRCUIT DEVICE

Assignee Name: THE FURUKAWA ELECTRIC CO., LTD.

Assignee Address: 6-1, Marunouchi 2-chome, Chiyoda-ku, Tokyo 100-8322 Japan

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification

Total Sheets **17**

3. ☒ Formal Drawing(s)
(35 U.S.C. 113)

Total Sheets **5**

4. ☐ Oath or Declaration

Total Pages **1**

- a. ☐ Newly executed (original or copy)

- b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)

- i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

- ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)

- ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)

- b. Specification or Sequence Listing on:

- i. ☐ CD-ROM or CD-R (2 copies); or

- ii. ☐ Paper

- c. ☐ Statements verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☒ Certified Copy of Priority Document(s) (1)
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.
See 37 CFR 1.27
16. ☒ Other: Notice of Priority

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on

- ☐ Which was published in English

- ☐ Which was not published in English

- ☐ This application claims priority of provisional application Serial No.

Filed

19. CORRESPONDENCE ADDRESS**22850**

(703) 413-3000

FACSIMILE: (703) 413-2220

Name: Bradley D. Lytle

Registration No.: 40,073

Signature:

Date:

9/19/01

Name:

C. Irvin McClelland

Registration No.:

Registration Number 21,124

Docket No. 214011US8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Kazuhisa KASHIHARA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: OPTICAL WAVEGUIDE CIRCUIT DEVICE

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	4 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	1 - 3 =	0	× \$80 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
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Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Bradley D. Lytle

Registration No. 40,073

C. Irvin McClelland
Registration Number 21,124

Date: 9/19/01



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/00)